

# Thermal Equilibrium

- Energy conservation equation
- Heating by photoionization
- Cooling by recombination
- Cooling by brehmsstrahlung
- Cooling by collisionally excited lines
- Collisional de-excitation
- Detailed Balancing
- Critical Densities
- Comparison of heating and cooling rates

# Energy conservation

- Heating provided by photoionization of electrons
- Cooling provided by:
  - 1) recombination lines (mostly H, He)
  - 2) brehmsstrahlung (free-free radiation)
  - 3) collisional excitation of heavy ions, and subsequent radiation

- Thermal equilibrium:

$$G = L_r + L_{ff} + L_c$$

(G – energy gained by photoionization, L – energy lost by radiation due to the above processes)

- Ionization: creates an electron with energy  $\frac{1}{2} m v_i^2 = h(\nu - \nu_0)$
- Recombination: electron gives up energy =  $\frac{1}{2} m v_f^2$
- Net energy that goes into heating:  $\frac{1}{2} m v_i^2 - \frac{1}{2} m v_f^2$

# Heating

- Heating provided by photoionization of electrons
- For a pure hydrogen nebula:

$$G(H) = \text{energy input/vol/sec} \quad (\text{ergs s}^{-1}\text{cm}^{-3})$$

$$G(H) = n_{H^0} \int_{\nu_0}^{\infty} \frac{4\pi J_{\nu}}{h\nu} h(\nu - \nu_0) a_{\nu}(H^0) d\nu$$

$$= n_e n_p \alpha_A(H^0, T) \frac{3}{2} kT_i \quad (\text{using ionization equil.})$$

$T_i$  is the initial electron temperature

- $T_i$  (electron temperature) is low close to the star, as  $h\nu_0$  photons are absorbed in the inner nebula first
- However, as  $\tau$  increases,  $T_i$  increases due to absorption of photons with energies  $> h\nu_0$

## Energy Loss by Recombination

$$L_R(H) = n_e n_p kT \beta_A(H^0, T)$$

where  $\beta_A$  = recomb. coeff. averaged over kinetic energy

$$\beta_{nL}(H^0, T) = \frac{1}{kT} \int_0^\infty v \sigma_{nL}(H^0, v) f(v) \frac{1}{2} m v^2 dv$$

On the spot approximation:

$$L_R(H) = n_e n_p kT \beta_B(H^0, T)$$

where  $\beta_B$  summed over all states but ground

For a pure H Nebula:

$G(H) \approx L_R(H)$  (free-free radiation not very important)

For recombination of He:

-same formulae as for heating and cooling of H

Recombination of other elements:

-usually not important, since heating and cooling proportional to ionic densities (and abundances are low)

## Bremsstrahlung (Free-Free Radiation)

$$L_{\text{ff}} = 1.42 \times 10^{-27} Z^2 T^{1/2} g_{\text{ff}} n_e n_+$$

where  $g_{\text{ff}}$  = Gaunt factor, weak function of  $n_e$  and  $T$

- quantum mechanical correction for classical case
- between 1.0 and 1.5 for H II regions
- bremsstrahlung usually not very important at nebular temperatures; recombination and collisional excitation dominates (but dominant cooling mechanism in  $T = 10^7 - 10^8$  K intracluster gas)

# Collisionally Excited Radiation

- Dominated by collisional excitation of low-lying levels of heavy elements (e.g.,  $O^+$ ,  $O^{++}$ ,  $N^+$ )
- Excited levels are mostly metastable, which result in forbidden or semi-forbidden lines (low  $A$  values)
- $\Delta E \approx kT$ , so very important coolants, despite lower abundance
- Consider two levels: lower (1) and upper (2)
- Collision cross-section:

$$\sigma_{12}(v) = \frac{\pi h^2}{m^2 v^2} \frac{\Omega_{12}}{\omega_1} \quad (\text{for } \frac{1}{2}mv^2 > \chi \quad \text{where } \chi = h\nu_{12})$$

where  $\Omega_{12}$  = collision strength from levels 1 to 2

(essentially constant with temperature at these electron velocities)

$\omega_1$  = statistical weight for level 1



# Collision Strengths

Ion	$^3P, ^1D$	$^3P, ^1S$	$^1D, ^1S$	$^3P_0, ^3P_1$	$^3P_0, ^3P_2$	$^3P_1, ^3P_2$	$^3P, ^5S^o$
N <sup>+</sup>	2.64	0.29	0.83	0.41	0.27	1.12	1.27
O <sup>+2</sup>	2.29	0.29	0.58	0.55	0.27	1.29	0.18
Ne <sup>+4</sup>	2.09	0.25	0.58	1.41	1.81	5.83	1.51
Ne <sup>+2</sup>	1.36	0.15	0.27	0.24	0.21	0.77	—
S <sup>+2</sup>	6.95	1.18	1.38	3.98	1.31	7.87	2.85
Ar <sup>+4</sup>	3.21	0.56	1.65	2.94	1.84	7.81	—
Ar <sup>+2</sup>	4.83	0.84	1.22	1.26	0.67	3.09	—

(Osterbrock & Ferland, p. 53)

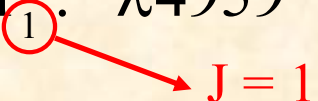
– calculated quantum-mechanically

Ex) Collision strength for [O III]  $^3P \rightarrow ^1D = 2.29$

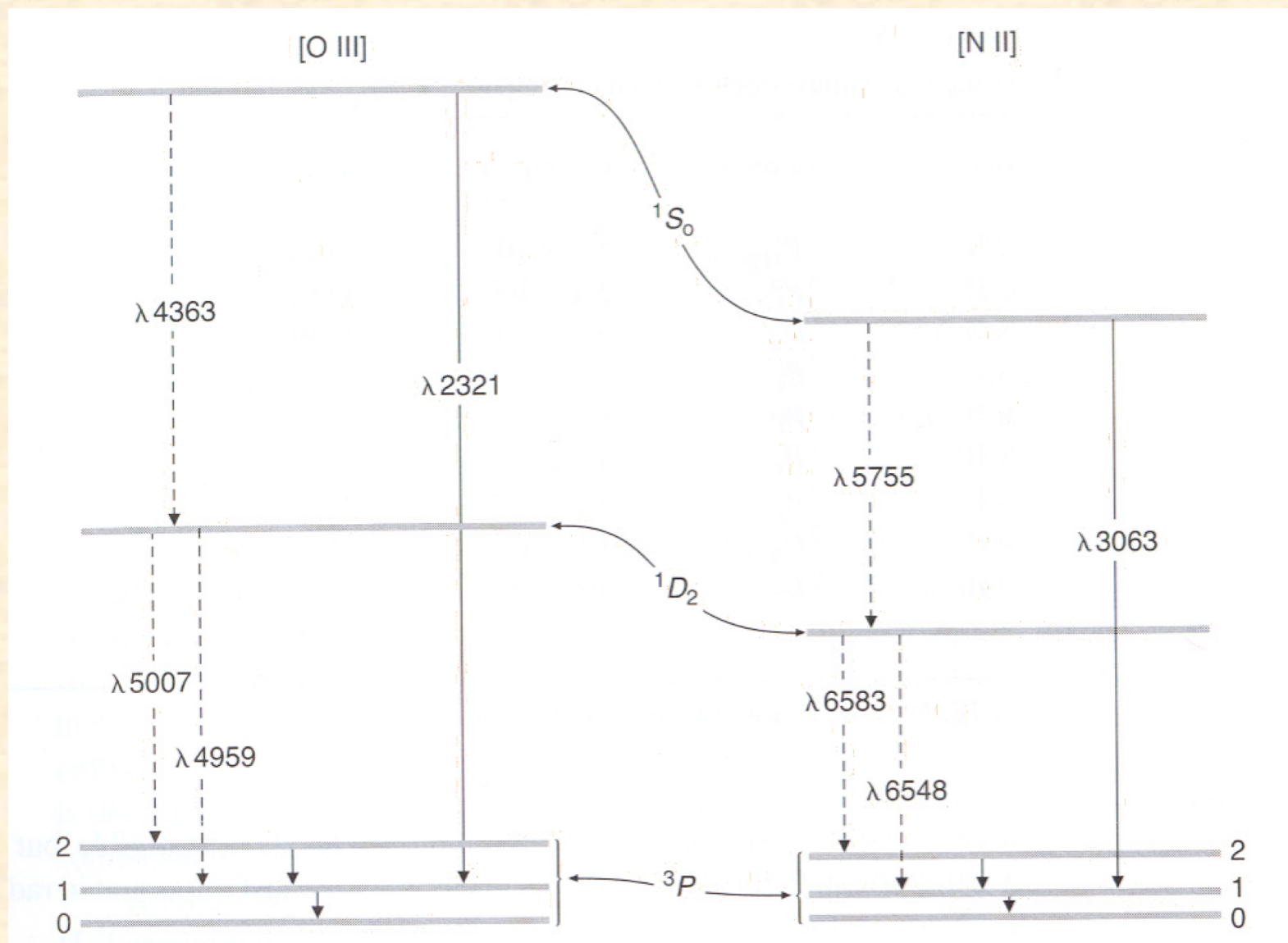
Radiative Transitions :

$^1D_2 \rightarrow ^3P_2 : \lambda 5007$

$^1D_2 \rightarrow ^3P_1 : \lambda 4959$

  $J = 1$

## Ex) Energy-Level Diagram for [O III], [N II]



(Osterbrock & Ferland, p. 59)



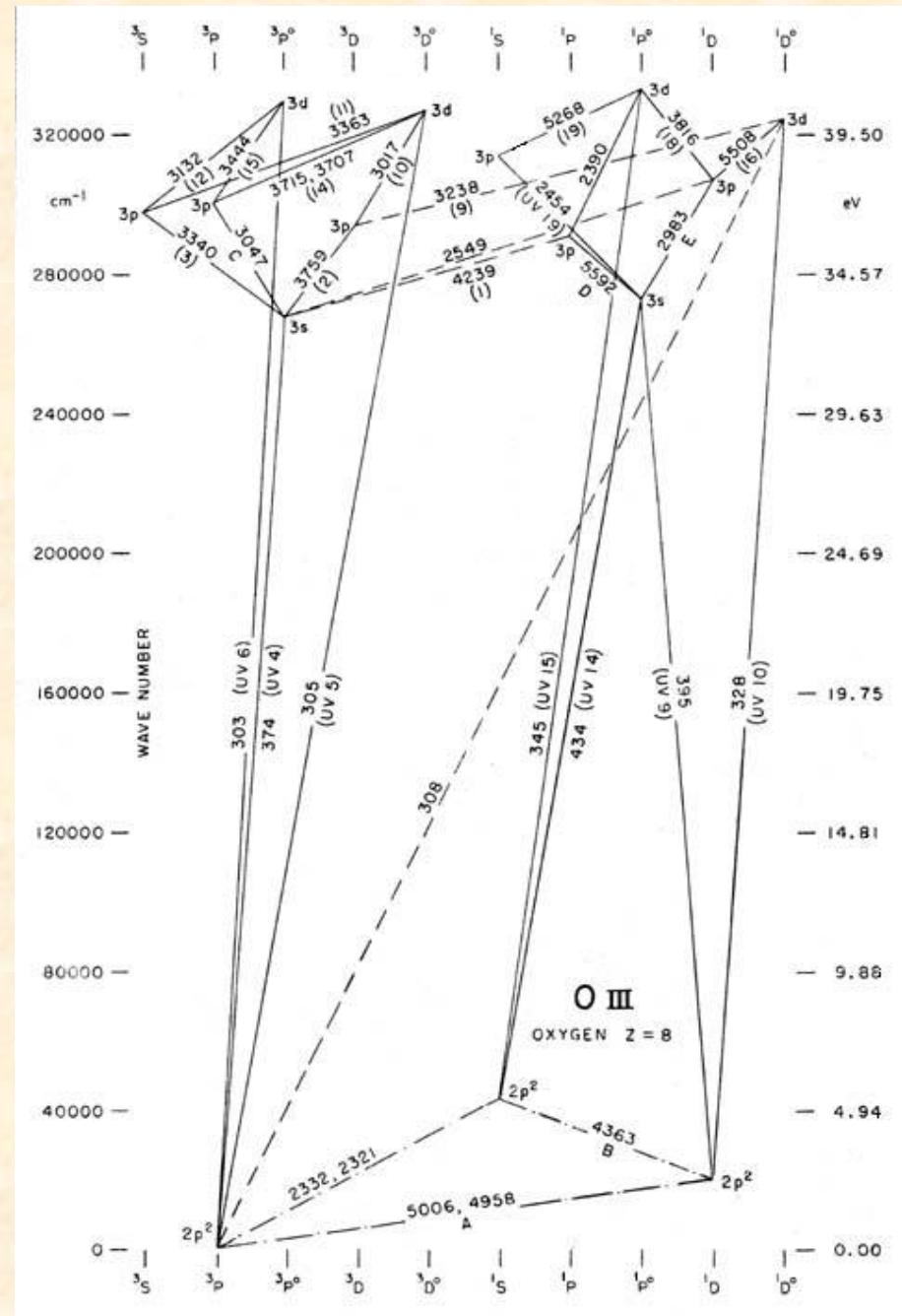
# Partial Grotrian Diagram for [O III]

O<sup>2+</sup> (Carbon-like):

- Ground: 1s<sup>2</sup>2s<sup>2</sup>2p<sup>2</sup>
- 2 outer shell electrons
- L-S coupling

from

*Partial Grotrian Diagrams of Astrophysical Interest*, Moore, C.E. & Merrill, P.W., NSRDS National Bureau of Standards, Vol. 23 (1968)



L =	0	1	1	2	2	0	1	1	2	2
S =	1	1	1	1	1	0	0	0	0	0

## Collisional De-Excitation

- **detailed balancing**: populations of levels remain constant in equilibrium
- rate of population of a level = rate of depopulation
- relation between cross sections for collisional excitation and de-excitation can be derived from **thermodynamic equilibrium** (Osterbrock & Ferland, p. 50):

Consider a two-level transition (1- lower, 2 - upper):

$$\omega_1 v_1^2 \sigma_{12}(v_1) = \omega_2 v_2^2 \sigma_{21}(v_2)$$

$$\text{where } \frac{1}{2} m v_1^2 = \frac{1}{2} m v_2^2 + \chi \quad (\text{where } \chi = h\nu_{12})$$

$$\text{So : } \sigma_{21}(v_2) = \frac{\pi h^2}{m^2 v_2^2} \frac{\Omega_{12}}{\omega_2} \quad (\text{similar to formula for } \sigma_{12}(v_1))$$

# Collision Rates

The collisional de - excitation rate is:

$$\# \text{ de-excitations/vol/sec} = n_e n_2 q_{21}$$

$$q_{21} = \int_0^{\infty} v \sigma_{21} f(v) dv \quad (q_{21} \text{ in cm}^3 \text{s}^{-1})$$

(note similarity to recombination)

The collisional excitation rate is :

$$\# \text{ collisions / vol / sec} = n_e n_1 q_{12}$$

$$\text{where } q_{12} = \frac{\omega_2}{\omega_1} q_{21} e^{-\chi/kT}$$

Note:  $q_{ij}$  is a function of  $(\sigma_{ij}, v)$  which is a function of  $(\Omega_{ij}, v)$  or  $(\Omega_{ij}, T)$

## Energy Loss by Collisional Processes

1) Single excited level, low  $n_e$

$$L_c = n_e n_1 q_{12} h\nu_{12} \quad (\text{every excitation followed by radiative transition})$$

2) Single excited level, higher  $n_e$

$$n_e n_1 q_{12} = n_e n_2 q_{21} + n_2 A_{21}$$

# collisions/vol/sec = # de-excitations/vol/sec + # transitions/vol/sec

- solve above eqn. for  $\frac{n_2}{n_1}$  to get relative level populations

Solve for population of level 2 :

$$n_2 = n(X) - n_1 \quad n(X) = \text{number density of element X}$$

$$L_c = n_2 A_{21} h\nu_{12}$$

3) For multiple levels, use **detailed balancing**:

- multiple equations for each level, # in = # out

For each level  $i$  of an ion  $X$ :

$$\sum_{j \neq i} n_j n_e q_{ji} + \sum_{j > i} n_j A_{ji} = \sum_{j \neq i} n_i n_e q_{ij} + \sum_{j < i} n_i A_{ij}$$

(transitions into  $i$ ) = (transitions out of  $i$ )

together with:

$$\sum_i n_i = n(X)$$

can be solved for the population in each level  $n_i$ .

$$L_c = \sum_i n_i \sum_{j < i} A_{ij} h\nu_{ij}$$



## Critical Density

- For a given level  $i$ ,  $n_c$  is the density at which  
# radiative transitions/vol/sec = # de-excitations/vol/sec

Let  $n_e = n_c$  when this occurs:

$$n_i \sum_{j < i} A_{ij} = n_c n_i \sum_{j \neq i} q_{ij}$$

$$n_c(i) = \frac{\sum_{j < i} A_{ij}}{\sum_{j \neq i} q_{ij}}$$

- At densities  $n_e > n_c$ , line emission from  $i \rightarrow j$  is significantly suppressed.

# Transition Probabilities (“A” values)

Transition probabilities for C-like  $2p^2$  and Si-like  $3p^2$  ions

Transition	[N II]		[O III]	
	A (s <sup>-1</sup> )	λ (Å)	A (s <sup>-1</sup> )	λ (Å)
$^1D_2-^1S_0$	1.0	5754.6	1.6	4363.2
$^3P_2-^1S_0$	$1.3 \times 10^{-4}$	3070.8	$6.1 \times 10^{-4}$	2331.4
$^3P_1-^1S_0$	$3.3 \times 10^{-2}$	3062.8	$2.3 \times 10^{-1}$	2321.0
$^3P_2-^1D_2$	$3.0 \times 10^{-3}$	6583.4	$2.0 \times 10^{-2}$	5006.9
$^3P_1-^1D_2$	$9.8 \times 10^{-4}$	6548.0	$6.8 \times 10^{-3}$	4958.9
$^3P_0-^1D_2$	$3.6 \times 10^{-7}$	6527.1	$1.7 \times 10^{-6}$	4931.1
$^3P_1-^3P_2$	$7.5 \times 10^{-6}$	121.89 μm	$9.7 \times 10^{-5}$	51.814 μm
$^3P_0-^3P_2$	$1.1 \times 10^{-12}$	76.5 μm	$3.1 \times 10^{-11}$	32.661 μm
$^3P_0-^3P_1$	$2.1 \times 10^{-6}$	205.5 μm	$2.7 \times 10^{-5}$	88.356 μm
$^3P_2-^5S_2^o$	$1.3 \times 10^{+2}$	2142.8	$5.8 \times 10^{+2}$	1666.2
$^3P_1-^5S_2^o$	$5.5 \times 10^{+1}$	2139.0	$2.4 \times 10^{+2}$	1660.8

(Osterbrock & Ferland, p. 56)

# Critical Densities for Some Important Levels

Critical densities for collisional deexcitation

Ion	Level	$n_e$ (cm <sup>-3</sup> )	Ion	Level	$n_e$ (cm <sup>-3</sup> )
C II	$2P_{3/2}^o$	$5.0 \times 10^1$	O III	$1D_2$	$6.8 \times 10^5$
C III	$3P_2^o$	$5.1 \times 10^5$	O III	$3P_2$	$3.6 \times 10^3$
N II	$1D_2$	$6.6 \times 10^4$	O III	$3P_1$	$5.1 \times 10^2$
N II	$3P_2$	$3.1 \times 10^2$	Ne II	$2P_{1/2}^o$	$7.1 \times 10^5$
N II	$3P_1$	$8.0 \times 10^1$	Ne III	$1D_2$	$9.5 \times 10^6$
N III	$2P_{3/2}^o$	$1.5 \times 10^3$	Ne III	$3P_0$	$3.1 \times 10^4$
N IV	$3P_2^o$	$1.1 \times 10^6$	Ne III	$3P_1$	$2.1 \times 10^5$
O II	$2D_{3/2}^o$	$1.5 \times 10^4$	Ne V	$1D_2$	$1.3 \times 10^7$
O II	$2D_{5/2}^o$	$3.4 \times 10^3$	Ne V	$3P_2$	$3.5 \times 10^4$
			Ne V	$3P_1$	$6.2 \times 10^3$

NOTE: All values are calculated for  $T = 10,000$  K.

(Osterbrock & Ferland, p. 60)

# Heating and Cooling Rates for a Low-Density Gas

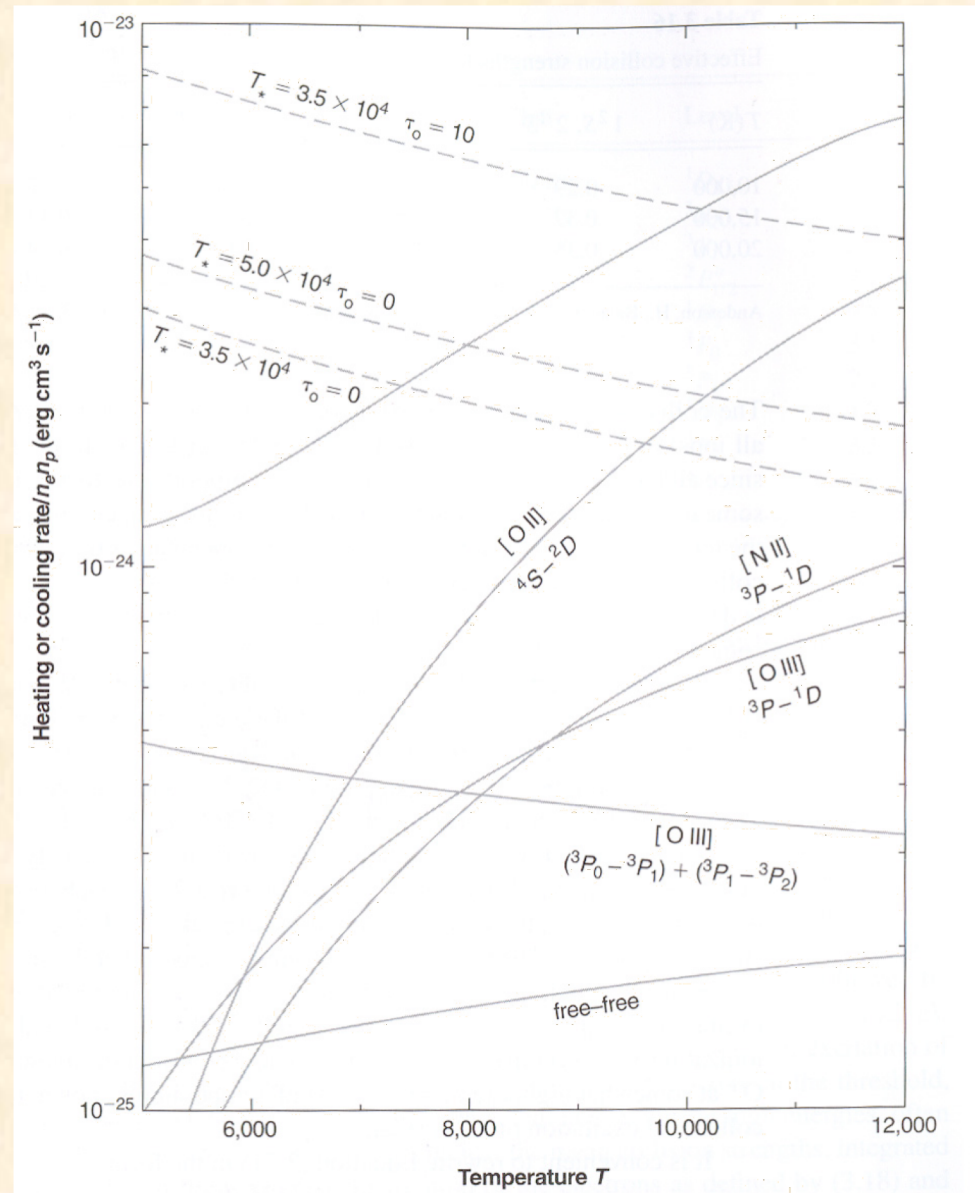
effective heating  
= cooling

$$G - L_R = L_{\text{ff}} + L_c$$

Per  $n_e n_p$  -

$G - L_R$ : dashed

$L_{\text{ff}} + L_c$ : solid

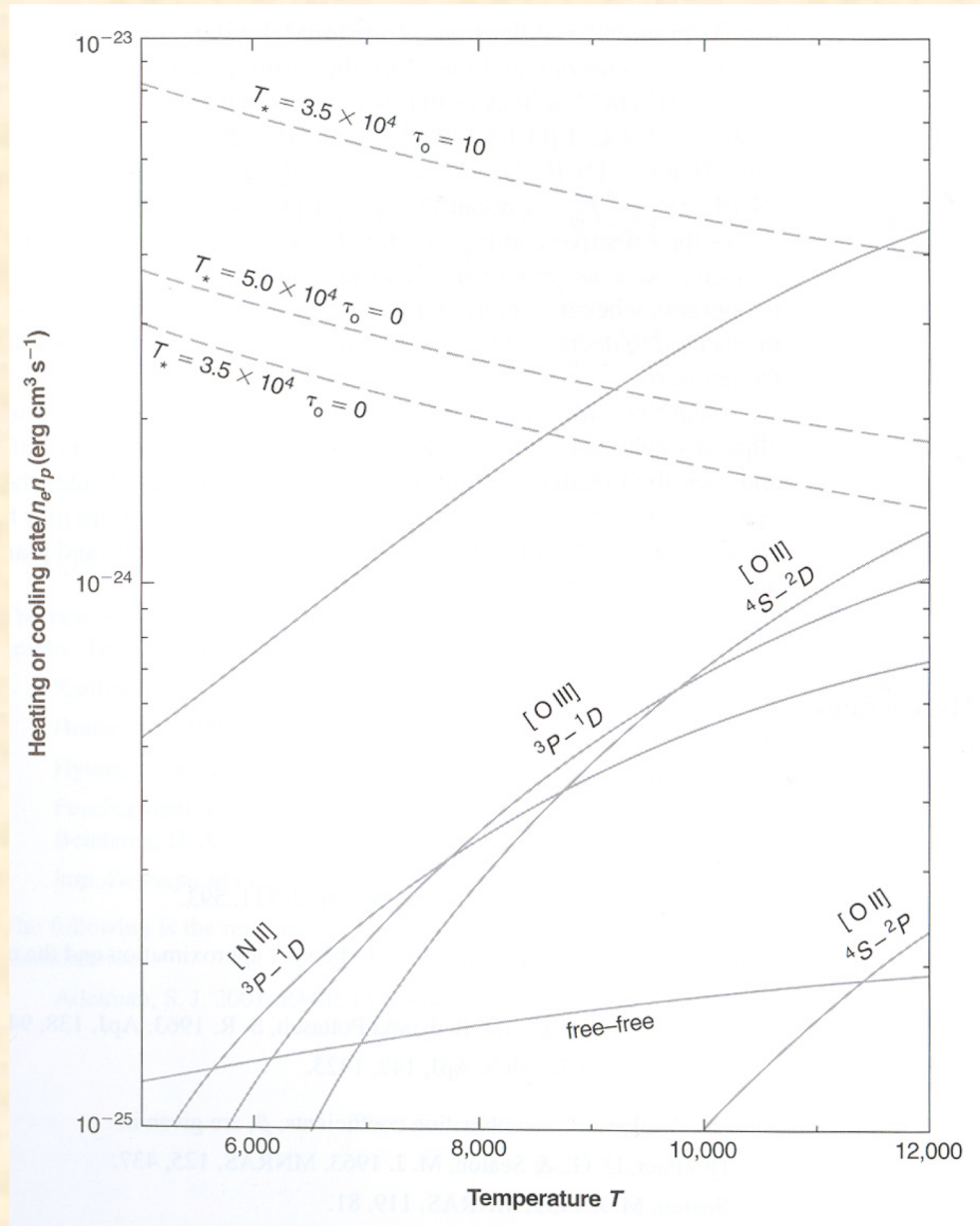


(Osterbrock & Ferland, p. 62)



# Heating and Cooling Rates for $n_e = 10^4 \text{ cm}^{-3}$

Collisional  
de-excitation  
raises temperatures



(Osterbrock & Ferland, p. 63)